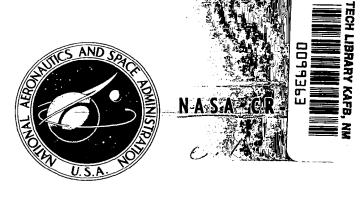
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COORDINATE SYSTEMS FOR DIFFERENTIAL CORRECTION

by E. A. Emerson and W. W. Lemmon

Prepared by
TRW SYSTEMS
Redondo Beach, Calif.
for Langley Research Center

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ABSTRACT

This paper presents a system of state transition partial derivatives developed at TRW Systems for which the tracking information normal matrix for a lunar orbiter is nearly diagonalized. A simulated tracking study shows the well-conditioning of the normal matrix for a lunar orbiter over one lunar revolution.

LIST OF SYMBOLS

A	Matrix of partial derivatives of observations with respect to regression variables			
c _{m,n}	Coefficients of the spherical surface harmonics in the expan-			
S _{m,n}	sion for the moon's gravitational potential			
I	. Identity matrix			
M	Estimate of normal matrix elements from continuous approximation			
N	Estimated "per unit time" normal matrix			
0	Vector observations			
R	Continuous analog to A matrix			
S	Coefficients of Fourier decomposition of R			
T	Total interval of tracking			
$\mathbf{T_o}$	Epoch at which osculating orbital elements are defined			
U	Coordinate transformation matrix			
u _o	Initial conditions for integrating variational equations			
X	State vector of system, including osculating orbital elements and other parameters			
Y	Vector of residuals			
Z	State vector in coordinate system in which differential correction is calculated			
f,g	Arbitrary coefficients			
r	Orbit plane coordinates of vehicle position			
v	Orbit plane coordinates of vehicle velocity			
x	Element of state vector			
z	Element of state vector			
Ω	Moon's rotation rate			
α	Elements of differential vector in which differential correction is calculated			

LIST OF SYMBOLS (continued)

- Unit normal to plane of vehicle motion
- T Continuous residual function
- μ GM (gravitational constant multiplied by mass of the moon).
- White noise (in statistical formula), vehicle revolution rate (in Fourier decomposition of R)
- Ø Arbitrary coefficient
- σ Orbital energy parameter

COORDINATE SYSTEMS FOR DIFFERENTIAL CORRECTION

Βv

E. A. Emerson and W. W. Lemmon
TRW Systems

1. SUMMARY

This report presents a system of state transition partial derivatives, developed at TRW Systems, for which the tracking information normal matrix for a lunar orbiter is nearly diagonalized. This result is obtained by using a set of regression variables (α variables) which, for an earthcentered tracker and assuming circular orbits for moon and satellite, precisely diagonalize the normal matrix. An analytic estimate of the correlations between the variables (for typical tracking data rates over one revolution of the moon about the earth) indicates that their maximum correlation approaches $\sqrt{2/3}$ as the limiting case. The analytical results were demonstrated in a simulated tracking study.

This report does not present the theoretical basis for these partial derivatives, nor the method of their derivation. The complete derivation and a history of the concept will be available in Reference 1.

2. INTRODUCTION

A persistent problem associated with statistical orbit determination programs is that the tracking information normal matrix can become illconditioned with time. This matrix is used in the calculation of the differential correction for improving the estimate of orbital elements and other parameters, and its inverse can under certain conditions indicate the standard errors in the corrections. When a matrix is sufficiently ill-conditioned, it can cause inaccurate corrections and a meaningful inverse may be impossible to obtain. This report presents the results of a continuing study of one approach to solving this problem, but it does not contain the body of theory on which the techniques and derivations are based. The original ideas behind the work represented by the results in this report (the limiting values of correlations for a lunar orbiter) are those of Mr. W. W. Lemmon alone. The basic theory has recently been documented (References 1 and 2), but the detailed derivations of all of Mr. Lemmon's work are not available. The results are presented here with only brief explanations. It is assumed that the reader is already generally familiar with the nature and sources of singularities in the tracking information normal matrix, the state transition matrix as the solution of the variational equations, the basic method of special perturbations when applied to orbit determination, and the basic method of iterated linearly determined differential corrections as the solution to the nonlinear statistical orbit determination problem.

The technology derived from this approach has been under development at TRW Systems for some two years; the results of this development can be utilized quite simply. At TRW Systems, the method has been incorporated in a series of computer programs for tracking error analysis of both earth and lunar orbiters. The expected improvement in performance has been found with these programs.

The method used depends on establishing special independent variables with respect to which the state transition partial derivatives are calculated. In most existing programs for statistical orbit determination,

these variables are already some fairly conventional set of osculating orbital elements. The independent variables, however, need not be any easily interpreted set of elements. It is desirable from the computation point of view that they have certain properties, such as being a linear transformation of the osculating elements in which the equations of motion are integrated, and being formulated in such a way that inversion of the state transition matrix is trivial. Otherwise, they are free to be as bizarre as necessary, up to the point where they lose any sensible physical interpretation.

This report covers what was to be an investigation of a choice of orbital elements to be used as the independent variables. Since the method presented here leads to those variables which are optimum; i.e., which diagonalize the normal matrix for a reasonable model of the tracking and orbital geometry, it was found to be of little or no value to examine other possible choices of elements.

Basic to the present method is the concept of establishing variables which separate energy and orientation (direction of displacement) rather than position and velocity. The method also uses variables which are not degenerate under commonly-occurring conditions such as zero eccentricity and zero inclination. Variables possessing these characteristics to varying degrees have been called "a-variables" in the literature, and this term has been used in particular to designate a set of variables developed at Goddard Space Flight Center which separate energy and orientation by the use of the Hamiltonian and Lagrangian functions.

This general method is referred to in this report as an "alpha system", and the contribution presented here consists first of a transformation from the cartesian elements to a set of α -variables which will diagonalize the normal matrix of a lunar orbiter, and second of an analytic estimate of maximum correlations to be expected when solving for coefficients of the lunar gravitational potential model.

The term "orbital element" means here any set of six parameters (and a time) which define (with reference to a given coordinate system) the position and velocity of a satellite in a Keplerian orbit.

3. STATEMENT OF THE PROBLEM

In a computer program for statistical orbit determination from tracking data, an ill-conditioned normal matrix will give rise to problems in connection with the computational process which calculates the least-squares solution to a linearized differential correction process by solving a system of equations represented in their simplest form by

$$\delta \mathbf{x} = (\mathbf{A}^{\mathbf{T}} \mathbf{A})^{-1} \mathbf{A}^{\mathbf{T}} \delta \mathbf{y}$$

- is the differential correction applied to an n dimensional state vector \mathbf{X}_0 .
- X is the state vector of the system. X typically contains elements which specify the position and velocity of a space-craft, but may be extended or substituted to contain physical constants specific to the mission (spacecraft effective radiation pressure cross section), physical constants of nature (gravitational model coefficients), physical constants of the tracking system (biases, systematic errors), and spacecraft originated perturbations (thrusts, attitude control system perturbations).

For practical purposes, the dimension of X is limited to the dimension of the matrix which the tracking program can accommodate. The analysis in this paper is concerned with X containing the following parameters:

		x _o	Cartesian position and velocity elements
		μ	The lunar gravitational constant
c _{2,0}	c _{3,0}	c _{4,0}	Zonal coefficients of the lunar potential field
	c _{2,1} s _{2,1}	c _{3,1} s _{3,1}	Tesseral harmonic coefficients of the lunar potential field
		c _{2,2} s _{2,2}	Sectorial harmonic coefficients of the lunar potential field

is the state vector at epoch T. The position and velocity elements of X are the initial conditions for the numerical integration of the equations of motion of the spacecraft.

- ATA is the n x n tracking information matrix, the "influence matrix"
 - is the m x n matrix of partial derivatives relating m particular observational residuals at known times to the state vector at (usually) some other epoch time, T_0 . An element of A has the form $\partial O_t/\partial x_j T_0$; that is, the partial derivative of the observation O at time t with respect to some element x_j in the solution vector at time T_0 . This partial derivative is the sum over i of the separate factored partial derivatives $(\partial O_t/\partial x_i t) \cdot (\partial x_i t/\partial x_j T_0)$ where $\partial O_t/\partial x_i t$ is the geometric partial derivative of the observation at time t with respect to the ith element of the solution vector at time t, and $\partial x_i t/\partial x_j T_0$ is the element of the state transition matrix relating the variation of the ith element at time t, x_{it} , to the variation of the jth element at time T_0 , $x_i T_0$.
 - of is the m x l matrix of residuals calculated by taking the difference between actual observations and the observations which should have resulted had the spacecraft been in the state calculated by integrating the equations of motion.

Two most important results are derived from solving this system of equations. The first is the differential correction $^{\delta}X$ to the state vector. The validity of this correction depends on the validity of the assumptions of linearity and the well-conditioning of the numerical process. The second is the inverse normal matrix $(A^TA)^{-1}$ which, under assumptions including linearity, is identified with the covariance matrix indicating the statistics on the solution vector $^{\delta}X$. The correctness of the elements of the covariance matrix, aside from errors in assumptions, depends on the well-conditioning of the numerical process.

The numerical process can become ill-conditioned in two ways:

- 1) The normal matrix A^TA may be nearly singular because of very high correlation between two or more of the elements of X. Such high correlation may be due to the fact that two elements are jointly correlated with single cause, or can result from some fortuitous short-term coincidence of orbit characteristics and sensor geometry which makes some elements either indeterminate or redundant for the data set under consideration.
- 2) The computational process has limited precision, and it would be possible for the matrix operations to take the difference of two nearly equal numbers and lose all but a very few significant digits. This can occur almost anywhere in the compu-

tation, but is more likely in the calculation of $(A^TA)^{-1}$. It is not always possible to disregard it in the calculation of δX , either.

Other problems closely related to the problem of ill-conditioning are:

- Failure of the assumption of linearity
- Inadequate word length for accumulation of the A^TA matrix
- The dimension of the solution vector in relation to the calculating precision required
- Insufficient accuracy in calculation of the partial derivatives.

These problems are not considered in the present study, and the remedy suggested for the ill-conditioning problem is not applicable to them.

The ill-conditioning problem can be treated by correcting either or both of the two contributory causes listed above. With respect to the first, it is desirable to use a solution vector whose elements are uncorrelated, or more exactly to calculate partial derivatives with respect to a set of variables which are uncorrelated (and which may subsequently be rotated in n-space to the required elements). This set of variables may in practice be established in one of two ways:

- They may be calculated in advance on the basis of theoretical considerations (i.e., orbit and tracking geometry)
- They may be obtained pragmatically by calculating for an obtained A^TA matrix that rotation which, if applied to the initial elements, would have made the matrix diagonal. This rotation is then applied to subsequent iterations.

This report gives the results of using the former method; that is, it gives a set of variables designed on the basis of tracking and orbit considerations to cause the resulting A^TA matrix to be well-conditioned.

With regard to the second cause of matrix inaccuracy, it is possible to extend the precision with which various matrix-related calculations are carried out. Extending precision is simple in concept and not difficult from the programming point of view; it has been done in portions of the JPL Orbit Determination Program (ODP).

4. THE ALPHA SYSTEM APPROACH TO DIFFERENTIAL CORRECTION

The technique of the alpha system involves defining the state vector \mathbf{X}_{0} , which represents the initial conditions for integrating the equations of motion, and the correction $^{\delta}\mathbf{X}$ to be applied to that state vector. The equations of motion are usually integrated in a coordinate system in which the formulation is simple and symmetrical (Cartesian) or in which the computation time may be systematically minimized (variation of classical orbital parameters) or in which some geometric factor is predominant (topocentric). The initial conditions must be provided in this coordinate system, and if the differential correction is iterated, the correction must in some way ultimately be applied in this coordinate system.

In the JPL Orbit Determination Program, the initial conditions X_O and the independent variables of the A matrix are the same cartesian elements. The calculated correction X is applied directly, so that $X_1 = X_O + \delta X$. In the TRW AT65 program (earth satellite version), the initial conditions X_O are cartesian elements and the independent variables Z_O of the A matrix are conventional polar spherical elements. It is necessary at the beginning of every iteration to know both X_O (in order to initialize the equations of motion) and Z_O (to permit the correction to be applied; $Z_1 = Z_O + \delta Z_D$. X_1 is obtained from Z_1 by a linear transformation $X_1 = UZ_1$. Both of the above approaches may be summarized in the following way:

Initial value of the state vector in the correction coordinate system

Initial conditions for the equations of motion, where U is the required transformation. If the equations of motion are integrated in the Z_{\odot} system, U = I, the identity matrix

Correction to z_0 , where a typical element is of the form $0_t/z_{iT_n}$

Corrected Z

ı

Corrected X

z_o

$$Z_{o} = (A^{T}A)^{-1}A^{T} \delta y$$

$$z_1 = z_0 + z_0$$

$$x_1 = u z_1$$

In the alpha-system, the approach is slightly different; no interpretation is given to the correction coordinate system, which is the alpha-system. It is simply a "differential" coordinate system in which the normal matrix $\mathbf{A}^T\mathbf{A}$ is well-conditioned. The derived correction $\delta \alpha$ is rotated to make it a correction $\delta \mathbf{X}_0$ in the coordinate system of the initial conditions and is then applied to complete the differential correction process. The partial derivatives $\delta \mathbf{O}_{\mathbf{t}}/\delta \alpha_{\mathbf{1T}_0}$ which constitute the A matrix are obtained by integrating the Cartesian variational equations with particular initial conditions $\delta \mathbf{X}_0/\delta \alpha_{\mathbf{T}_0}$. It is the correct specification of this initial condition matrix which produces the desired α -system. The specification of an α -system is the specification of this initializing matrix. The α -system process may be summarized as follows:

Initial value of the state vector in the coordinate system used for the equation of motion

.. ...

Initial conditions for the variational equations where f is the function specifying the α -system

$$U = \partial X_{T_O} / \partial \alpha_{T_O}$$
$$= f(X_{T_O})$$

Xo

Correction in the α -system, where a typical element of A is of the form $\partial O_{t}/\partial \alpha_{1T_{\alpha}}$

 $\delta \alpha_{\mathbf{T}_{\mathbf{O}}} = (\mathbf{A}^{\mathbf{T}} \mathbf{A})^{-1} \mathbf{A}^{\mathbf{T}} \delta \mathbf{y}$

Correction in coordinate system for equations of motion

$$\delta X^{O} = 0$$
 $\delta \alpha^{L^{O}}$

Corrected X

$$X_1 = X_0 + \delta X_0$$

The design and choice of U depends on the tracking geometry, the types of observations, the duration of tracking, the evolution of the orbit, and the components of the solution vector over and above the position and velocity. Having alternate choices for U permits tailoring the α variables to fit the specific problem; a recommended set for the lunar orbiter is given below. U is specified by establishing a function of X_O that considers the design factors. Once the function is derived and established, it is made a part of the computation and is used to calculate, as a function of the original and subsequently corrected X_O ,

the initial conditions for the variational equations. The required subsequent partial derivatives $\delta O_{\rm t}/\delta \alpha_{\rm iT_O}$ are then developed.

The method of designing the U function is based on the general principle of separating energy from orientation, together with the requirement to eliminate degeneracy. The theory of the method is strongly dependent on a new formulation for conic trajectory relations (Reference 2). Obtaining any particular U function requires an understanding of the influence of the statistical behavior of residuals on the differential correction as well as a knowledge of the influence of system dynamics and motion on the computation of partial derivatives.

The difficulty of obtaining the U function can be appreciated from a consideration of the number of variables must be taken into account and the many approximations required. For uncomplicated cases (e.g., standard position fix observations, no very extended solution vectors, moderate orbit evolution, no powered flight) some standard α -systems are available and are tailored to operate satisfactorily if certain orbital eccentricities are avoided. For conventional orbiting satellites, for example, the so-called "parabolic degenerate" system is adequate, while for planetary escape trajectories the "circular degenerate" system is sufficient. No method for designing U functions for general applications has been formulated, and their design thus retains certain aspects of an art rather than a science.

The α -system recommended for the lunar orbiter is derived from the parabolic degenerate system; that is, it has degeneracies only for eccentricities very nearly equal to unity. It includes the coefficients of the gravitational potential harmonics and includes the mass of the moon in the form of μ . The assumptions on which this α -system are based are as follows:

- A single tracking station is located at the center of the earth and takes range and range rate data at a rate that is high in relation to the period of the satellite. Data is provided over a period of one revolution of the moon about the earth.
- Tracking errors are stationary and normally distributed.

- The satellite is revolving about the moon taken as a point mass and is in a circular orbit moderately inclined to the lunar equator.
- The moon is in a circular orbit about the earth.
- No account is taken of perturbations of the vehicle's motion caused by the earth and sun.

For this model, the 6 x 6 normal matrix for the primitive parabolic degenerate α -system is diagonalized. In nature, this model is only an approximation, and it is expected that a real normal matrix for the primitive system would not be diagonalized, but that minimally it would be very well-conditioned. This expectation has been borne out in simulated runs for the lunar orbiter. The test of well-conditioning applied to the simulation was a comparison of the normal matrix with the inverse of its inverse.

Recall that the only requirement to institute an α -system is to provide initial conditions for variational equations. This is because of the chain of contributing partial derivatives and because the superposition theorem applies to the solution of the linear variational equations.

$$\frac{\partial o_{t}}{\partial \alpha_{iT_{o}}} = \sum_{j} \left(\sum_{k} \frac{\partial x_{kT_{o}}}{\partial x_{iT_{o}}} \cdot \frac{\partial x_{kT_{o}}}{\partial x_{jt}} \right) \cdot \left(\frac{\partial x_{jt}}{\partial o_{t}} \right)$$

 $\frac{\partial O_t}{\partial X_{it}}$ is an analytic expression.

 $\frac{\partial X_{jt}}{\partial X_{kT_0}}$ is an element from the solution of the variational equations with I as the starting conditions.

 $\frac{\partial x_{kT_O}}{\partial \alpha_{iT_O}} \qquad \text{is an element from the U matrix which defines} \\ \text{the α-system.}$

Obtaining $\frac{\partial X_{kT_O}}{\partial x_{iT_O}} \cdot \frac{\partial X_{jt}}{\partial X_{kT_O}} = \frac{\partial X_{jt}}{\partial x_{iT_O}}$ is

computationally equivalent to using U as the initial conditions of the variational equations. Thus, by using U instead of I as initial conditions to the variational equations, the solution is directly $\partial X_t/\partial \alpha_{jT_0}$ for correctly selected α -variables may be inverted by rearrangement and sign changes alone. (See reference 3.)

5. AN ALPHA-SYSTEM FOR THE LUNAR ORBITER

Given that the principal coordinate system of integration is Cartesian, referenced to the spacecraft orbit plane and the direction from the force center to vehicle position at epoch, $T_{\rm O}$, the U matrix may be defined. The following notation is used:

$$\vec{r}_{o} = \begin{bmatrix} x_{o} \\ y_{o} \end{bmatrix}$$
, position at T_{o}

$$\vec{v}_{o} = \begin{bmatrix} \dot{x}_{o} \\ \dot{y}_{o} \\ \dot{z}_{o} \end{bmatrix}, \text{ velocity at } T_{o}$$

 μ = Gravitational constant

$$\omega = 2\mu/r_0 - v_0^2 = \text{negative twice the orbital energy}$$

■ Unit normal to plane of vehicle motion

T = Interval of tracking

$$\alpha_1 = \text{Column 1 of } U_o$$
 (6 x 1)

$$\alpha_{\overline{1}} = \text{Column 2 of } U_0$$
 (6 x 1)

$$\alpha_2 = \text{Column 3 of } U_0$$
 (6 x 1)

$$\alpha_{\overline{2}} = \text{Column 4 of } U_0$$
 (6 x 1)

$$\alpha_3$$
 = Column 5 of U_0 (6 x 1)

$$\alpha_{\overline{3}}$$
 = Column 6 of U_0 (6 x 1)

$$\alpha_{\mu} = \text{Column 7 of } U_0$$
 (6 x 1)

$$\alpha_{j}$$
 = Columns 8-16 of U_{o} (6 x 9)

$$U = \alpha_1 \alpha_{\overline{1}} \alpha_2 \alpha_{\overline{2}} \alpha_3 \alpha_{\overline{3}} \alpha_{\mu} \alpha_{\underline{1}} \qquad (6 \times 16)$$

To construct a "primitive" U, the following definitions are used:

$$\alpha_{1} = \begin{bmatrix} \vec{v}_{o} \\ \vec{\mu}\vec{r}_{o}/r_{o}^{3} \end{bmatrix}$$

$$\alpha_{\overline{1}} = \begin{bmatrix} 2\vec{r}_{o}/\omega + 3\vec{v}_{o}/2\omega \\ \vec{v}_{o}/\omega - 3\vec{\mu}\vec{r}_{o}/2\omega r_{o}^{3} \end{bmatrix}$$

$$\alpha_{\overline{2}} = \begin{bmatrix} \vec{\epsilon} \times \vec{v}_{o} \\ \vec{\mu}\vec{\epsilon} \times \vec{r}_{o}/r_{o}^{3} \end{bmatrix}$$

$$\alpha_{\overline{2}} = \begin{bmatrix} -2\vec{\epsilon} \times \vec{r}_{o}/\omega \\ \vec{\epsilon} \times \vec{v}_{o}/\omega \end{bmatrix}$$

$$\alpha_{\overline{3}} = \begin{bmatrix} 0 \\ \vec{\epsilon} \end{bmatrix}$$

$$\alpha_{3} = \begin{bmatrix} 0 \\ \vec{\epsilon} \end{bmatrix}$$

$$\alpha_{4} = (r_{o}v_{o}^{2} + \mu) \alpha_{1}/3r_{o}$$

$$+ |\vec{r}_{o} \times \vec{v}_{o}| \alpha_{1}/\mu\omega$$

It was stated above that the α -system does not correspond to variations in any conventional element set. The partial derivatives do relate the observational residuals to some othogonal variation parameters in phase space. These parameters do not have any physical interpretation,

but the following quasi-physical interpretations are offered without justification:

α,	Variation	in	epoch
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 $\alpha_{\overline{1}}$ Variation in orbital energy

 α_2 , α_2 A conjugate pair that define variation in an "eccentricity" and variation of apocentron

 α_3 Variation in out-of-plane position

 $\alpha_{\overline{3}}$ Variation in out-of-plane velocity

α. Variation in period

α, Variation in gravitational potential coefficients

These uncommon parameters suggest other more familiar components; by way of a second-order, less precise interpretation they can be considered to correspond roughly to the following conventional items:

 α_{γ} Variation in reciprocal mean motion

 $\alpha_{\overline{1}}$ Variation in energy

α₂ Variation in eccentricity

 $\alpha_{\overline{2}}$ Variation in argument of apocentron

 α_3 Variation in displacement from orbit plane

 $\alpha_{\overline{3}}$ Variation in velocity normal to orbit plane

Notwithstanding these rather vague "interpretations", the corrections δα, once calculated by solving the system of normal equations, may be rotated directly back to the original coordinates by the relation

$$\delta X^{\circ} = \frac{\delta \alpha}{\delta X^{\circ}} \delta \alpha = \Omega \delta \alpha^{\circ}$$

without ever interpreting the α -variables. Their only requirement is that the matrix U must be convenient to invert and that the A^TA matrix be well-conditioned.

6. THE ANALYTICALLY DERIVED NORMAL MATRIX N

It was not anticipated that the contract covering the work reported here would provide for modification of a computer program to permit testing an α -system for tracking a lunar orbiter. The α -system was evaluated by an analytic study to estimate the limiting values of the elements of the normal matrix.

To a certain extent the evaluation is circular because some of the assumptions necessary to the averaging of the tracking data were already applied in the selection of the α -system. Otherwise, the analytical estimate introduces approximations based on statistical and mathematical assumptions. It can be shown, however (although it is not shown here), that any errors introduced have a mean value of zero. It is worthy of note that the α -system is a system of computing partial derivatives which is orderly and elegant enough that an analytic estimate of the normal matrix can indeed be obtained. The more important statistical and mathematical assumptions permitting estimation of the normal matrix are given below; they will clarify the symbols used in evaluating the elements in Figure 1. The entries given in Figure 1 are the limiting values of the "per unit time" normal matrix $N = \frac{1}{T} (A^T A)$ under the assumptions given.

6.1 CONTINUITY APPROXIMATION

Tracking is assumed to be continuous and a continuous, time-dependent observation residual vector function $\delta \eta$ is obtained as follows:

$$\delta \eta = R \delta \xi + \delta v$$

where $\delta \xi$ is the continuous time dependent spacecraft state vector variation function. $\delta \xi$ is the continuous analog of δy .

 $R = \partial \eta / \partial \xi$, a continuous function of time (R is the continuous analog of A)

 $\delta v = \text{white noise}$

The A^TA matrix is a tracking accuracy normal matrix summed discretely over an interval of time, T, from the weighted partial derivatives at those

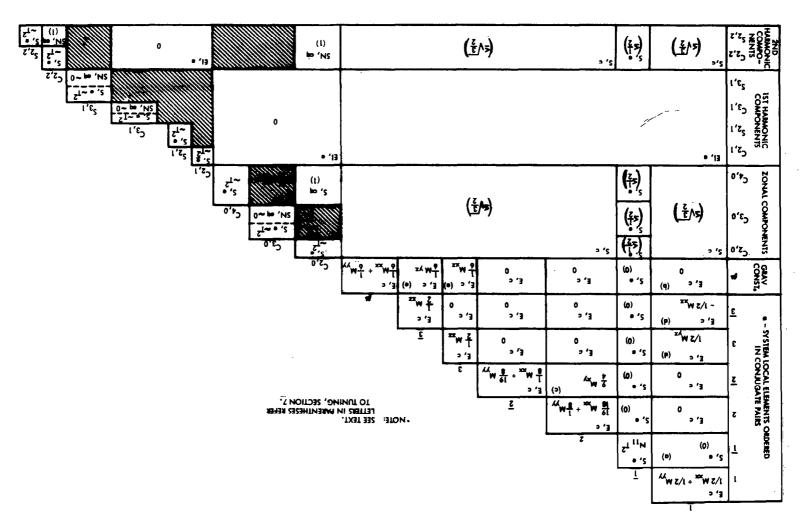


Figure 1.-Analytically Derived Normal Matrix N

times when observations occur. The interval of time is arbitrary, and in the analytic approximation it has been averaged out. The resulting normal matrix as derived is then the "per unit time" normal matrix N.

$$N = \frac{1}{T} (A^{T}A)$$

The A^TA matrix arises in computation only as a necessity to solve a system of normal equations to estimate a correction vector. The substitution of a continuous process for a discrete process requires an integration in lieu of a summation. The continuous process requires by analogy the evaluation of the integral

$$M = \frac{1}{T} \int_{t_0}^{t_0^{+T}} R^T R dt$$

where t_0 and t_0 + T are the beginning and end of the tracking interval. M is by construction positive, semi-definite, and symmetric. For the analytically estimated normal matrix, referenced to the vehicle-centered spacecraft orbit plane coordinates, M is referenced to the orbit plane and to the line from the force center to the vehicle at epoch.

6.2 TRACKING MODEL

Statistical averages over the interval T were dependent upon assumptions of integrability, stationarity, and an ergodic model. The integrability requirement is obvious. The stationarity assumption is very weak, and was the only assumption used in entries coded with an "S". The only stationary assumption is that the sum of the components $M_{XX} + M_{YY}$ of the M matrix is a function bounded and Fourier transformable for all T and converges uniformly to a nonzero value as T approaches infinity. The ergodic model requires that R can be decomposed in the Fourier form

$$R = R_e \left[S_o e^{i\Omega t} + \sum_{j=1}^{\infty} S_j e^{i\nu_j t} \right]$$

where Ω is the moon's rotation rate, and the v_j 's are multiples of the vehicle's orbital rate; and that

$$\Omega \pm v_{j} \neq 0, \text{ all } j$$

$$\Omega \pm v_{j} \neq v_{1}, \text{ all } j$$

$$v_{m} \pm v_{n} \neq v_{1}, \text{ all } m \neq n$$

That is, there are no resonances.

Statistical tracking models are designated in Figure 1 as follows:

<u>Key</u>

- S Stationary
- SN Stationary with no sensitivity to position and velocity deviations normal to the moon's equator
- E Ergodic (without range rate)
- EI Ergodic tracking for T = an integral number of lunar months (= revolutions)

6.3 ORBIT MODEL

The spacecraft orbit with respect to the moon's center was taken to be one of four types designated by key.

vel						
е	Elliptical inclined	(e	¥	0, i	/	0)
c	Circular inclined	(e	=	0, i	¥	0)
eq	Elliptical equatorial	(e	£	0, 1	=	0)
cq	Circular equatorial	(e	=	0, 1	=	0)

6.4 INTERPRETATION OF OFF-DIAGONAL ELEMENTS

The principal entry in each element of Figure 1 differs whether the element is on the main diagonal or off the main diagonal. Elements on the main diagonal are given as averaged values over the continuously defined partial derivative matrix R^TR. Elements off the main diagonal

are estimates of the "correlation-like" function $N_{ij}(N_{ii}N_{jj})^{1/2}$. These are not correlations (the inverse of N is the theoretical covariance matrix from which statistical correlations would be derived) but are values generally indicative of the well-conditioning of the normal matrix. If any of these values were identically unity, then the matrix would be singular. If all are zero, the matrix is diagonal. The time and distance units for nonzero entries are the period and major semiaxis respectively.

The entries given are zero if in the limit as T approaches infinity the expected "correlation" is zero. Where the "correlation" approaches and is bounded by some numerical value, that value is given in parentheses. (For something which is always zero, zero is given in parentheses). In some entries, the resulting "correlations" depend on characteristics of R. For these cases, it has been found that further "tuning" of the α variable will eliminate these nonzero values off of the diagonal. Tuning information is given in section 7 following.

7. TUNING

The word "tuning" is used to designate the process of taking linear combinations of the columns of the primitive U matrix in such a way as to eliminate nonzero off-diagonal elements in the normal matrix. The process was used in defining the α -system for the lunar orbiter, as outlined below. The letter designations refer to notes indicated on Figure 1, where offending off-diagonal "correlations" appear.

- a) <u>Time Phasing</u>. When epoch is not at the center of the data, replacing the primitive $\alpha_{\overline{1}} = \left[2\overline{r_0}/\omega, -\overline{V_0}/\omega\right]^T$) with $\alpha_{\overline{1}} + 3T\alpha_{\overline{1}}/2\omega$ decouples $\alpha_{\overline{1}}$ and $\alpha_{\overline{1}}$. This has been done in the recommended U.
- b) Gravitational Constant Energy Decoupling. The element μ is naturally coupled with the energy, but if the α_{μ} as defined for U replaces μ proper, then the "correlation" is eliminated.
- c) Gauging of α_2 . The α_2 , α_2 "correlation" can be eliminated by reorienting the direction of variation away from apocentron. This requires the following replacements:

$$f_{2} \alpha_{2} + \omega f_{\overline{2}} \alpha_{\overline{2}} - \alpha_{2}$$

$$g_{2} \alpha_{2} + g_{\overline{2}} \alpha_{\overline{2}} / \omega - \alpha_{\overline{2}}$$

$$f_{2} g_{\overline{2}} - g_{2} f_{\overline{2}} = 1$$

where

d) Decoupling of α_1 , α_3 . The "correlations" α_1 , α_3 and α_1 , $\alpha_{\overline{3}}$ can be eliminated by the replacements

$$\alpha_3 + \beta_{13} \alpha_1 - \alpha_3$$

$$\alpha_{\overline{1}} - \beta_{13} \alpha_{\overline{3}} - \alpha_{\overline{1}}$$

The method of choosing the coefficients f, g, and ø used in items c, d, e, and f of this section has not been documented. The method presented here is given to show that it is possible to eliminate the off-diagonal entries.

e) Decoupling of α_{μ} , α_{3} . The "correlations" α_{μ} , α_{3} and α_{μ} , $\alpha_{\overline{3}}$ can be eliminated by the replacement

$$\alpha_{\mu} + \beta_{\mu} = \alpha_{3} = \alpha_{\mu}$$

f) Gauging α_3 . Steps (d) and (e) above could cause α_3 , α_3 to be "correlated". This result can be avoided by a device similar to that used in item (c) above.

8. COMPARISON OF CI-VARIABLES WITH CARTESIAN VARIABLES

In the TRW TAPP IV (Tracking Accuracy Prediction Program) for application to earth satellite and lunar probe tracking problems, both parabolic degenerate α -variables and Cartesian variables are programmed. Either may be selected. This program also has provision for checking on the well-conditioning of a normal matrix by the following sequence:

- Inverting the matrix in double precision
- Truncating the inverse to single precision
- Inverting the truncated inverse again in double precision
- Printing all three matrices in single precision

The twice inverted matrix can then be compared to the original for agreement. Although this test has certain disadvantages, it is if anything too strict.

The TAPP program models the motion of the spacecraft with a two-body Kepler orbit about the force center. In the lunar orbiter case the force center is the moon, whose ephemeris is derived from a fairly simple analytic model. The periods during which tracking data is provided were selected to satisfy the requirements for visibility of the spacecraft from the Goldstone, Madrid, and Woomera tracking stations. The data rate is one observation per ten minutes for each station during visibility periods. Tracking was simulated for a period of 30 days (2,592,000 seconds).

Comparison runs were made with the same tracking data making identical contributions for both methods. The A^TA matrices were calculated with the

A matrix defined for the respective systems. The parabolic degenerate system is the primitive system without tuning. The following excerpts have been chosen from the comparison runs for reproduction here:

Matrix	Printout Code	Description
A ^T A	OMGA 2	Tracking normal matrix
$(A^TA)^{-1}$	OMCIA 1	Covariance matrix
Correlation Matrix	Correlation Matrix	Standard deviation on the main diagonal, otherwise correlations
Check Inverse	Inverse of OMGA 1	Check inverse

The rows and columns of the individual matrices are identified by index numbers to be interpreted as follows:

Row and Column Key Number	Cartesian Interpretation	Parabolic Degenerate Interpretation
1	x	$\alpha_{\mathbf{l}}$
2	y	α 2
3	z	^α 3
4	x	${}^{lpha}ar{1}$
5	ÿ	∞∑
6	ż	$\alpha_{\overline{3}}$

Specimen matrices are reproduced in Tables 1 through 8 for the following times:

•	5 days	432,000	seconds
•	10 days	864,000	seconds
•	20 days	1,728,000	seconds
•	30 days	2,592,000	seconds

It can be seen from the specimens that by 20 and 30 days, the Cartesian system is developing difficulties, while the parabolic degenerate system is still functioning well.

9. NEW TECHNOLOGY

The method and technique of formulating and using α -variables as presented in this report were developed independently by TRW Systems. The responsible investigator is Mr. W. W. Lemmon.

This technique was used to develop the results presented here, which could be applied to the lunar orbiter. No new technology was developed under the contract covering this report.

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- 2) J. E. Brooks and W. W. Lemmon, "A Universal Formulation for Conic Trajectories Basic Variables and Relationships," TRW Systems (formerly TRW Space Technology Laboratories) Report 3400-6019-TU000; February 1965. (This report was prepared under Contract No. NASA9-2938 to document previously unpublished work of W. W. Lemmon.)
- 3) R. H. Battin, Astronautical Guidance, McGraw-Hill Book Co., New York, N. Y.; 1964.
- 4) W. W. Lemmon, Notes and miscellany.

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CURRENT
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                                             OMGA 2
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                                                                     .15679340 UB
  .14214590 L5
                   .287299J2 34
                                    .36400284 04
                                                   -. 79122571 07
                                                                                      .18452426 08
                                                                                      .37295204 07
                                    .73580024 03
                                                   -.15989626 U7
                                                                     -31690562 07
  .28729902 04
                   .58075718 33
                                    .93224840 03
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                   .31590552 07
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5 .15679340 J8
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1 .28987098 52
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                                   -.61122963 02
                                                     .28267854-U1
                                                                    -.24632771-01
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2 -.52847186 [1
                   .22447526 23
                                   -.19339577 ú3
                                                     .1166073U-U1
                                                                    -.87979150-01
                                                                                      .87028594-01
3 --51122963 02
                   -.19339577 D3
                                    .38573629 03
                                                    -.10375653 00
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4 .28267854-01
                   .11660730-01
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5 -.24632771-01
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6 .23602408-01
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                                   -.57803851 00
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2 -.65514170-.1
                   -14982498 02
                                   -.65723012 00
                                                     .11964127 00
                                                                    -.57978222 00
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3 -.57803851 20
                   -.65723012 00
                                    .19640170 G2
                                                    -. 81210036 UD
                                                                     .79371220 00
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4 .85713488 JD
                   .11954127 33
                                   -.81210u 36 00
                                                     .65051975-U2
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5 -.45173141 00
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   .13818519 (5
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  .27929370 -4
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                                    .71530023 03
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4 -.76917939 37
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4 -.45673269 05
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                                                  TAU(1)
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                                    .31702528 05
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                                     .63974795 04
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                                                                      .27629542 08
                                                                                      .32546233 08
                    .50610953 J4
                                                                                      .41140133 08
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                                                     .18470C16-U4
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                                    .17u62479-01
                                                    -. 86 935169-05
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                                   -.32625973-01
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                                                    -.147493(5 08
   .26359917 C5
                    .53193511 34
                                                                                      .34207046 08
                                     .67239282 04
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3 .33320294 05
                    .67239282 34
                                    .84994108 04
                                                    -.1864385G C8
                                                                      .36707386 08
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4 -.73095363 38
                  -.14749305 08
                                   -.18643850 08
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                                                                     -.80524608 11
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5 .14390913 09
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Table

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                                                                          .23727476 04
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                    MATRIX
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                                                                       .10163454 01
                                                                                        -11902940 06
   -30909257 07
                    .39025904 26
                                    -.19177948 63
   .39025904 06
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                                    --10530671 02
                                                     -.22444592 05
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                                                                                        . 59224549 05
 -.19177948 03
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                                                      .12574432 02
                                                                      -.51485901-02
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4 -.19286995 06
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                                     .12574432 02
                                                      .16328993 05
                                                                      -.10650351 01
                                                                                      -.22129991 03
   .10163454 31
                    .66413257 32
                                    -.51485901-02
                                                     -.10650351 01
                                                                       .56931194-01
                                                                                        . 5741 9346 01
5
   .11902940 D6
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                                    -.18717063 01
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                                                                                        . 4502 B 95 97
   -28724225-05
                   -.24695879-07
                                     .11021172-01
                                                      .25451678-04
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                   -.29602485-03
                                     .13619660 03
                                                      .25563053-01
                                                                       .15422961 02
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   .25451678-04
                    .14610145-36
                                     .25563053-01
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                                                                                       -. 65446744-04
   .21718471-02
                   -.20084611-03
                                     .15422961 02
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                                                                                       -. 72151872-02
                                                      .14717168-01
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                                                                                       -. 520 541 43 -01
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                   -.62730256-01
                                                                                       -. 33146926 00
                                     .11670330 02
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                                                                       .29432648 00
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                                                      .18505454-01
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                   -.11062167 00
                                     .29432648 00
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   .30909258 C7
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                                                     -.19286996 06
                                                                                        .11902941 06
   .39025905 06
                    .63057469 07
                                    -.10530672 02
                                                     -.22444592 05
                                                                       .46413258 02
                                                                                        .59224551 05
  -.19177949 03
                                                                      -.51485902-02
                                                                                       -.18717065 01
                   -.10530672 02
                                     .20724704-01
                                                      .12574433 Ú2
                                                                                       -.22130009 03
4 -.19286996 06
                   -.22444592 35
                                     .12574433 02
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   .11902941 06
                    .59224551 05
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                                                     -.22130009 03
                                                                       .57619348 01
                                                                                        .45828394 05
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INDEX
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                                                            INDEX
                                                                         INDEX
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                                                                          85
                     1
                                              P(Z)
                                                            V(X)
                                                                          V(Y)
                  P(K)
                                P(Y)
.1728ú0000 07 .27933244 07
                             .47667849 07 .56951947 07 -.43988774 04 .13083418 04 .14837945 04
                   MATRIX
                              MU(1)
                                       RHO(1)
                                                 TAU(1)
                                                            MU(2)
                                                                     RHO (2)
                                                                              TAU(2)
                                                                               A(K)
                      SPR
                               1
                                         FΡ
                                                  A(K)
                                                            1
                                                                      FP
                                             CMGA 2
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                                                                                     .13700816 10
                                                                     .23446600 G9
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                                                   -.11945504 09
                                                                                     .27615004 09
                    .54186264 35
                                    -68423884 05
                                                   -.15 384204 09
                                                                     .29607248 09
                                                                                     .34870913 09
3 .26883606 06
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                                                                                    -. 76874884 12
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                                                                                     .71747327 00
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                                                                                    -. 92454908 00
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                   .54133917 30
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                                                                     .33570903 09
                                                                                     .39539238 09
                                                                     .67664630 UB
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                                                   -.34472964 UB
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                                    .19746801 05
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                                                                                     .10063408 09
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                                   -.43530722 08
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                                                                    -.18836543 12
                                                                                    -. 22185366 12
5 .33570903 u9
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                                    .85443647 08
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                                                                     .43545034 12
                                                                                     -51286602 12
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CENTRAL

BODY

LAS1

RECYCLE

CURRENT

TIME

EPOCH

TIME

COOR

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엉
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EPOCH
                                  COOR
                                               CENTRAL
                                                             LAST
                                                                          CURRENT
                    TIME
                                  SYSTEM
                                               BODY
                                                             RECYCLE
                                                                          TIME
                    INDEX
                                  INDEX
                                               INDEX
                                                             INDEX
                                                                           INDEX
                      1
                                                 3
                                                                            85
                  P(X)
                                 P(Y)
                                               P(2)
                                                              V(X)
                                                                             VIY
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                             .47667849 UT .56951947 QT -.43988774 Q4
                                                                        .13083418 04
                                                                                        -14837945 04
                    MATRIX
                              MU(1)
                                        RHO(1)
                                                  TAULL
                                                             MU(2)
                                                                       RHO (2)
                                                                                 TAU(2)
                       SPR
                                1
                                          FP
                                                   A(K)
                                                                        FP
                                                                                  A(K)
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                                                                       .11436806 03
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                                                     -. 81232186 06
                                                                                        .31964846 06
                                                                                        .29047923 05
   .31521664 J6
                    .17265242 38
                                    -.85564041 02
                                                     -.40814841 04
                                                                       .11436806 03
3 -- 30154937 03
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                                                                      -.10962496-01
                                                                                       -. 26697101 01
                                                      .13869196 06
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                                                                                       -. 411 82 049 05
4 -.81232186 06
                   -.40814841 34
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 -28528235 02
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                                                     -.41182049 05
                                                                                        .84438013 05
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CENTRAL
                                                                          CURRENT
                    EPOCH
                                 CODR
                                                            LAST
                                                            RECYCLE
                                                                          TIME
                    TIME
                                 SYSTEM
                                               BODY
                                                                          INDEX
                                 INDEX
                                                            INDEX
                    INDEX
                                               INDEX
                                  10
                                                3
                                                                           95
                     1
                  P(X)
                                P(Y)
                                               PIZI
                                                             V(X)
                                                                            VIYI
                                                                                          V(Z)
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.25920300 37 -.49636470 06
                                       RHO(1)
                                                 TAU(1)
                                                            MU(2)
                                                                     RHO (2)
                                                                                TAU(2)
                    WATRIX
                              MU(1)
                                         FP
                                                   A(K)
                                                                       FP
                                                                                 A(K)
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                                                                                      .39821147 13
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                                                                      .41978813 00
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                                                     .27630509 00
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                                     .20905431 06
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                                                    -.11738537 09
                                                                      .23019690 09
                                                                                       .27113799 09
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                                                                                      -.59856061 12
                                                    -.50817956 12
                                                                      .99654528 12
5 .90501820 09
                    .18233604 09
                                    -230 19690 09
                                                                                       .11737833 13
                                                                                       .13825437 13
6 .10659779 10
                    .21476493 09
                                     .27113799 09
                                                    -.59856061 12
                                                                      .11737833 13
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pecimen Matrix,

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Days,

Cartesian

6 .32835773 06

.45771943 35

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EPOCH
                                      COOR
                                                   CENTRAL
                                                                 LAST
                                                                                CURRENT
                                      SYSTEM
                        TIME
                                                   BODY
                                                                 RECYCLE
                                                                                TIME
                        INDEX
                                      INDEX
                                                   INDEX
                                                                 INDEX
                                                                                INDEX
                                                     3
                                                                                95
                         1
                                        6
                     P(X)
                                    P(Y)
                                                                                 V(Y)
                                                   P(Z)
                                                                   A(X)
                                                                                                 V(Z)
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                                                 .62433182 07 -.46810420 04
                                                                              .10656331 03 .5443502 B 02
                        XI FTA P
                                   MU(1)
                                            RHO(1)
                                                       TAU(1)
                                                                           RHO (2)
                                                                  MU(2)
                                                                                      TAU(2)
                           SPR
                                    1
                                              FP
                                                        A(K)
                                                                   1
                                                                             FP
                                                                                       A(K)
                                                   OMGA 1 2
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      .18521611 06
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                        .22486780 06
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                                                                          -.12120498-01
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ATA 4 -.13839021 .7
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                                                          .31079926 U6
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-.20565191 01

-.45639788 05

.77042231 DO

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Spec

Matrix,

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Days,

Parabolic

Degenerate

System

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